



PATENT APPLICATION

Docket: 13676.152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Roger W. Phillips et al.

Serial No.: 09/489,250

Filed: January 21, 2000

For: OPTICALLY VARIABLE SECURITY DEVICES

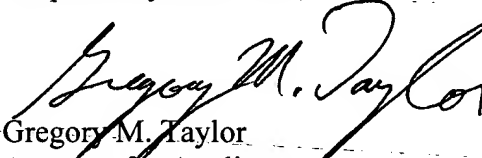
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CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box: Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231, on this 19th day of May 2000:

- Response to Notice to File Missing Parts of Application (3 pgs.)
- Declaration, Power of Attorney, and Petition (4 pgs.)
- Assignment with Form PTO-1595 (4 pgs.)
- Copy of Form PTO-1533
- Check No. 115539 in the amount of \$2,372.00
- Request for Correction of Filing Receipt (2 pgs.)
- Copy of Filing Receipt and postcard
- Postcard

Respectfully submitted,


Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
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Telephone: (801) 533-9800
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PATENT APPLICATION
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Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed April 12, 2000, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- x A Declaration, Power of Attorney, and Petition executed by the inventors Roger W. Phillips, Richard L. Bonkowski, Patrick K. Higgins, and Charles T. Markantes.
- x An Assignment, including a Form PTO-1595 recordation cover sheet, conveying the invention from the inventors to Flex Products, Inc.

☐ A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.

☒ A copy of the Notice to File Missing Parts of Application;

☒ A Certificate of Deposit under 37 C.F.R. § 1.8.

☐ To render the Response timely filed, enclosed are the following:

☐ Petition for a _____ month Extension of Time; and

☐ Check No. _____ in the amount of \$ _____ to cover the fee for the extension of time.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
For	No. Filed	No. Extra	Rate	Fee	Rate	Fee
Basic Fee				\$345		\$ 690
Total Claims	78 -20=	58	x 9=		x 18=	\$1044
Ind. Claims	9 -3=	6	x 39		x 78=	\$ 468
Multiple Dependent Claims Presented			+130=		+260=	
			TOTAL		TOTAL	\$2202

☒ A check in the amount of \$2,372.00 is enclosed to cover:

☒ The \$2,202.00 government filing fee;

☒ The \$130.00 large entity surcharge fee.

☒ The \$40.00 recordation fee of the enclosed assignment;

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. A duplicate copy of this letter is attached.

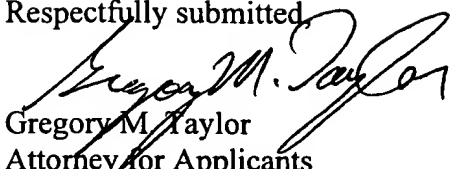
☒ Any additional filing fees required under 37 C.F.R. § 1.16.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 19th day of May 2000.

Respectfully submitted,



Gregory M. Taylor
Attorney for Applicants
Registration No. 34,263

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FORMALITIES LETTER



OC00000005046112

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/489,250	04/12/2000	Roger W. Phillips	13676.152

Workman Nydegger & Seeley
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, UT 84111



Date Mailed: 04/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1512.
 - \$1044 for 58 total claims over 20.
 - \$468 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2332.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/24/2000 MARMOL 00000038 09489250

01 FC:101	690.00 OP
02 FC:105	130.00 OP
03 FC:103	1044.00 OP
04 FC:102	468.00 OP



TO THE UNITED STATES PATENT AND TRADEMARK OFFICE
PLEASE STAMP AND RETURN. THANK YOU.

SUBMITTED: Patent Application (54 pgs.); Formal Drawings (13 sheets);
Transmittal Letter (2 pgs.); Certificate of Mailing; Postcard

Applicants: Roger W. Phillips et al.

Title: OPTICALLY VARIABLE SECURITY DEVICES

Docket No.: 13676.152

Express Label No. EL394374930US

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